



Attorney Docket No. NYMX0002
 Client/Matter No. 99786.0007
 Express Mail No. EV544474649US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kai Neumann, et al.

Serial No. 10/700,406

Filed: November 4, 2003

For: DISTRIBUTED TRADING BUS
ARCHITECTURE

Examiner: [Not Yet Assigned]

Art Unit: 3621

Confirmation No. 5734

Customer No.: 25235

INFORMATION DISCLOSURE STATEMENT

Mail Stop AMENDMENT
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.97 the Examiner may wish to consider the references listed on the attached Form PTO/SB/08A. In submitting these references for the Examiner's consideration, no representation is made or implied that the references are or are not material to the examination of the application. The Examiner is encouraged to make his or her own determination of materiality.

Pursuant to 37 C.F.R. § 1.97(c), it is hereby certified that each item in this Information Disclosure Statement was cited in a communication from a foreign patent office (copy enclosed), PCT/IB04/52236, mailed March 23, 2006, not more than three months prior to the filing of the statement (37 C.F.R. Section 1.97(e)). No petition fee is believed required, however, any fees associated with this communication may be made to Deposit Account No. 50-1123.

Respectfully submitted,

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Date: 4/04/06



PTO/SB/08A (10/01)
(Substitute for form 1449A/PTO)

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APPLICATION NO.
10/700,406

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use several sheets if necessary)

Sheet 1 of 1

FIRST NAMED INVENTOR
Kai Neumann, et al.

FILING DATE
November 4, 2003

ART UNIT
3621

U.S. PATENT DOCUMENTS

| Examiner Initials | Cite No. | Document No. No. - Kind Code | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Doc | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|-------------------|----------|---------------------------------|--------------------------------|---|--|
| | | US-4,980,826 | 12/25/1990 | Wagner | |
| | | US-6,405,180 | 06/11/2002 | Tifors et al. | |
| | | US-6,421,653 | 07/16/2002 | May | |
| | | US-6,618,707 | 09/09/2003 | Gary | |
| | | US- | | | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials | Cite No. | Foreign Patent Doc entry code - No. - Kind Code | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Doc | Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear | TRANSLATION |
|-------------------|----------|--|--------------------------------|---|--|-------------|
| | | | | | | YES NO |
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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

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| Examiner Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher, city and/or country where published |
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EXAMINER SIGNATURE /Clement Graham/

DATE CONSIDERED 04/17/2008

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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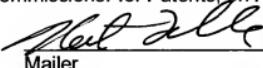
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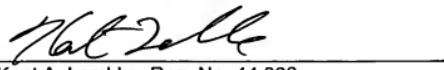
Sir:

The undersigned hereby certifies that the enclosed

1. Information Disclosure Statement,
2. Form PTO/SB/08A, with copy of PCT search report,
3. Certificate of Mailing, and
4. Return Receipt Postcard,

and this Certificate of Mailing by Express Mail relating to the above application, were deposited as "Express Mail", Mailing Label No. EV544474649US with the United States Postal Service, addressed to Commissioner for Patents, on April 4, 2006.

Date: 4/04/06


 Mailer
Date: 4/04/06


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